

## **Information to Gather At Each Stage of Criminal Justice Processing**

The list below contains data elements that might be useful in evaluating the impact of initiatives taken across the mental health and criminal justice systems in a locale. This list provides a starting point for the types of information that could be gathered at each stage of criminal justice processing. The list may be expanded to include additional data related to the specific “priorities” of the locale (identified during the Sequential Intercept mapping workshop).

This list and a range of other information about collecting and using data is available in:

Mulvey, E.P. & Schubert, C.A. (2015). The sequential intercept model as a platform for data-driven practice and policy. In P. Griffin, K. Heilbrun, E. Mulvey, D. DeMatteo, and C. Schubert (Eds.) *Criminal justice and the sequential intercept model: Promoting community alternatives for individuals with serious mental illness*. New York: Oxford.

### **Intercept 1: Law enforcement**

1. Dispatch
  - a. # of calls identified by dispatch as involving mental illness
  - b. # of calls referred to: trained crisis workers, police, MH worker, other
  
2. Background/training of respondent
  - a. Profession
  - b. Age
  - c. Training
  - d.
  
3. Disposition from visit
  - a. Arrest
  - b. Arrest and transport to hospital for medical treatment
  - c. Arrest and transport to psychiatric evaluation;
  - d. Involuntary transport to psychiatric evaluation
  - e. Transport for medical treatment
  - f. Transport to mental health facility other than hospital
  - g. Referred to mental health/social services
  - h. Contact only
  
4. Characteristics of the actor
  - a. Age/DOB
  - b. Gender

- c. Ethnicity
  - d. SSN (or any other information that may serve as a unique identifier across systems)
  - e. History of prior incidents and dispositions
5. Description of the incident
- a. Date of incident
  - b. Time of day
  - c. Location (Specific address or categories e.g. private residence, public place)
  - d. Weapon involved?
  - e. Violence against a person?
6. Subsequent history of the actor (attempts to track individual through the system)
- a. Identify unique identifier that cross data systems
  - b. Date
  - c. Type of incident

### **Intercept 2: Initial detention/initial court hearings**

1. Case identifiers (including charges)
2. Characteristics of the individual (as above) – check offender management system
3. Events/actions that preceded the arrest (e.g. crisis intervention prior to this appearance)
4. MH status from “booking interview”
  - a. Suicide risk (y/n)
  - b. MH status
  - c. On medication (y/n)
  - d. Substance use issues
5. Disposition outcome from initial detention & court hearing
  - a. Specialty court
  - b. Jail
  - c. Treatment not associated with court
  - d. Home

### **Intercept 3: Jails/courts**

#### *System-level information*

1. What jail-based MH services are available?
  - a. Is there a MH unit?
2. Does the system employ case managers to follow up with MH clients upon release?

3. Is there a forensic peer support program?
4. How does the system integrate MH information?

*Case-level information*

5. Was the case referred to MH court (y/n)?
  - a. Were they accepted to MH court
  - b. If yes, what services were provided by the MH court
6. Length of jail stay (date of admit, date of release)
7. Sequence/timing of various hearings
8. Receipt of medication while in jail (y/n)?
  - a. Types
  - b. Dosage
9. Any jail incident reports involving the client?
10. Housing unit (general or MH unit)

**Intercept 4: Reentry**

*System-level information*

1. Is there a procedure for pre-release planning?
2. Is there a structured risk-need assessment at release?

*Case-level information*

1. Was there a pre-release planning meeting
  - a. Is there a plan in place?
  - b. What are the conditions in that plan?
2. Where was the client released to (a specific address or to the streets)?
3. Living arrangement/type of place going to
4. Does the client have an identified MH service provider/agency?
  - a. Appointment made?
5. Are there any community restrictions on the person (e.g. mandated treatment?)
6. Is the client on probation or parole?
  - a. Are they on a specialized probation caseload
  - b. Frequency of contact with probation
7. Employed at the time of release?
  - a. Date began working
8. Start and end dates for specialty court and/or probation involvement
9. Consult Reentry checklist from Gains center  
([http://gainscenter.samhsa.gov/topical\\_resources/reentry.asp](http://gainscenter.samhsa.gov/topical_resources/reentry.asp))

## **Intercept 5: Community corrections**

### *System-level information*

1. Is there a screening?
  - a. What screening is used?
2. Is there information sharing between agencies?

### *Case-level information*

1. Detailed information about services received?
2. Does the individual have a case manager?
3. Dates of contact with probation and other court services
4. Dates and reasons for violations of conditions of release and/or probation conditions
  - a. Outcomes from violations
5. Rearrest
  - a. Dates and charges